THE GENERAL ASSEMBLY OF PENNSYLVANIA

HOUSE BILL

No. 1419 Session of 2017

INTRODUCED BY DELOZIER, J. HARRIS, MILLARD, DEAN, FRANKEL, KINSEY, PHILLIPS-HILL, D. COSTA, CALTAGIRONE, SOLOMON, V. BROWN, WARREN, COX, GODSHALL, DAVIS, BULLOCK, WHEATLEY, WHEELAND, HILL-EVANS, DELUCA, A. HARRIS, KIM, ROZZI, MCCARTER, ZIMMERMAN, MCCLINTON, SCHWEYER, REESE, FEE, DERMODY, SIMS, ROTHMAN, FARRY, HANNA, DALEY, THOMAS, STURLA, NEILSON, KRUEGER-BRANEKY, SCHLOSSBERG, KORTZ AND DEASY, MAY 25, 2017

AS REPORTED FROM COMMITTEE ON JUDICIARY, HOUSE OF REPRESENTATIVES, AS AMENDED, MARCH 13, 2018

AN ACT

Amending Title 18 (Crimes and Offenses) of the Pennsylvania Consolidated Statutes, in dissemination of criminal history 2 record information, further providing for general regulations and for order for limited access, providing for clean slate for convictions of misdemeanors and for charges not leading 5 to convictions, for effects of expunged offenses and offenses 6 provided limited access and for employer immunity from 7 liability and further providing for use of records by licensing agencies; and imposing duties on the Pennsylvania 9 State Police and the Administrative Office of Pennsylvania 10 Courts. 11 AMENDING TITLE 18 (CRIMES AND OFFENSES) OF THE PENNSYLVANIA 12 <--CONSOLIDATED STATUTES, IN CRIMINAL HISTORY RECORD 13 INFORMATION, FURTHER PROVIDING FOR GENERAL REGULATIONS AND 14 FOR ORDER FOR LIMITED ACCESS AND PROVIDING FOR CLEAN SLATE 15 LIMITED ACCESS, FOR EXCEPTIONS, FOR ORDER TO VACATE ORDER FOR 16 LIMITED ACCESS, FOR EFFECTS OF EXPUNGED RECORDS AND RECORDS 17 SUBJECT TO LIMITED ACCESS AND FOR EMPLOYER IMMUNITY FROM LIABILITY. 19 20 THE GENERAL ASSEMBLY FINDS AND DECLARES AS FOLLOWS: 21 INDIVIDUALS WITH CHARGES NOT LEADING TO CONVICTIONS (1)22 MAY BE INHERENTLY HARMED BY THE MAINTENANCE OF THAT RECORD 23 AND HAVE A CONSTITUTIONAL PRESUMPTION OF INNOCENCE.

- 1 (2) INDIVIDUALS CONVICTED OF CRIMES IN THIS COMMONWEALTH
- 2 SHOULD SERVE THEIR SENTENCES AS ORDERED BY THE COURTS OF THIS
- 3 COMMONWEALTH.
- 4 (3) AFTER LESS VIOLENT INDIVIDUALS CONVICTED OF CRIMES
- 5 HAVE SERVED THEIR SENTENCES AND REMAINED CRIME FREE LONG
- 6 ENOUGH TO DEMONSTRATE REHABILITATION, THE INDIVIDUALS' ACCESS
- 7 TO EMPLOYMENT, HOUSING, EDUCATION AND OTHER NECESSITIES OF
- 8 LIFE SHOULD BE FULLY RESTORED.
- 9 (4) CRIMINAL JUSTICE AGENCIES NEED ACCESS TO ALL
- 10 CRIMINAL HISTORY RECORD INFORMATION IN ORDER TO EFFECTIVELY
- 11 CARRY OUT THE AGENCIES' DUTIES TO PROTECT THE PUBLIC.
- 12 (5) THE COMMONWEALTH SHALL PROVIDE A CLEAN SLATE REMEDY,
- AS SET FORTH UNDER THIS ACT, TO:
- 14 (I) CREATE A STRONG INCENTIVE FOR AVOIDANCE OF
- 15 RECIDIVISM BY OFFENDERS.
- 16 (II) PROVIDE HOPE FOR THE ALLEVIATION OF THE
- 17 HARDSHIPS OF HAVING A CRIMINAL RECORD BY OFFENDERS WHO
- 18 ARE TRYING TO REHABILITATE THEMSELVES.
- 19 (III) SAVE THE COMMONWEALTH MONEY THAT MUST BE SPENT
- 20 IN THE ADMINISTRATION OF CRIMINAL JUSTICE WHEN OFFENDERS
- 21 RECIDIVATE.
- 22 (IV) ENSURE APPROPRIATE ACCESS TO CRIMINAL HISTORY
- 23 INFORMATION BY CRIMINAL JUSTICE AGENCIES.
- 24 (6) THE CLEAN SLATE REMEDY SHOULD BE IMPLEMENTED WITHOUT
- 25 COST TO THE FORMER OFFENDER OF FILING A PETITION WITH A
- 26 COURT.
- 27 The General Assembly of the Commonwealth of Pennsylvania
- 28 hereby enacts as follows:
- 29 Section 1. Sections 9121(b) and (b.2) and 9122.1(a) of Title <--
- 30 18 of the Pennsylvania Consolidated Statutes are amended to

Τ	read:
2	§ 9121. General regulations.
3	* * *
4	(b) Dissemination to noncriminal justice agencies and
5	individuals Criminal history record information shall be-
6	disseminated by a State or local police department to any
7	individual or noncriminal justice agency only upon request.
8	Except as provided in subsection (b.1):
9	(1) A fee may be charged by a State or local police
10	department for each request for criminal history record
11	information by an individual or noncriminal justice agency,
12	except that no fee shall be charged to an individual who
13	makes the request in order to apply to become a volunteer
14	with an affiliate of Big Brothers of America or Big Sisters
15	of America or with a rape crisis center or domestic violence
16	program.
17	(2) Before a State or local police department
18	disseminates criminal history record information to an
19	individual or noncriminal justice agency, it shall extract
20	from the record the following:
21	(i) All notations of arrests, indictments or other
22	information relating to the initiation of criminal
23	<pre>proceedings where:</pre>
24	(A) three years have elapsed from the date of
25	arrest;
26	(B) no conviction has occurred; and
27	(C) no proceedings are pending seeking a
28	conviction.
29	(ii) All information relating to a conviction and
30	the arrest, indictment or other information leading

1 thereto, or relating to an arrest not leading to a conviction, which is the subject of a court order for 2 3 limited access as provided in section 9122.1 (relating toorder for limited access)[.] or which is automatically 4 provided limited access under section 9122.2 (relating to 5 clean slate for convictions of misdemeanors and for 6 charges not leading to convictions). 7 (3) A court or the Administrative Office of Pennsylvania 8 Courts may not disseminate to an individual, a noncriminal 9 10 justice agency or an Internet website any information relating to a conviction, arrest, indictment or other 11 information leading to a conviction, arrest, indictment or 12 13 other information, which is the subject of a court order for limited access as provided in section 9122.1 or which is 14 automatically provided limited access under section 9122.2. 15 * * * 16 (b.2) Additional exceptions. Subsection (b) (2) (ii) and (3) 17 18 shall not apply if the request is made [by a State agency to be 19 used only as authorized under section 9124 (relating to use of 20 records by licensing agencies).]: 21 (1) Pursuant to a court order in a case brought under 23 22 Pa.C.S. Ch. 53 (relating to child custody) or 61 (relating to 23 protection from abuse). All information made available to the 24 court under this exception shall also be made available for 25 examination by the parties. 26 (2) To verify information provided by an applicant where Federal law, including rules and regulations promulgated by a 27 28 self regulatory organization that has been created pursuant 29 to Federal law, requires the consideration of an applicant's criminal history for purposes of employment. 30

1 By an employer against whom a claim of civil liability has been brought as described under section 9122.4 2 (relating to employer immunity from liability) for purposes 3 of defending against a claim of civil liability. 4 (4) To verify information provided to the Supreme Court, 5 or an entity thereof, in its capacity to govern the practice, 6 procedure and the conduct of all courts, the admission to the bar and the practice of law and the administration of all-8 9 courts and supervision of all officers of the judicial 10 branch. * * * 11 \$ 9122.1. Order for limited access. 12 13 (a) General rule. - [The following shall apply: 14 (1)] Notwithstanding any other provision of this 15 chapter, upon petition of a person who has been free of farrest or prosecution| subsequent conviction following 16 conviction or final release from confinement or supervision, 17 18 whichever is later, for a period of 10 years, the court of 19 common pleas in the jurisdiction where the conviction-20 occurred may enter an order that criminal history record-21 information maintained by any criminal justice agency 22 pertaining to a conviction for a misdemeanor of the second-23 degree, a misdemeanor of the third degree or an ungraded 24 offense which carries a maximum penalty of no more than two-25 years be disseminated only to a criminal justice agency or a 26 government agency as provided in section 9121(b.1) and (b.2) 27 (relating to general regulations). 28 (2) Except when requested or required by a criminal 29 justice agency, or by and for the official use of a 30 government agency described in section 9121(b.1) or 9124(a)

1	(relating to use of records by licensing agencies), no
2	individual shall be required nor requested to disclose
3	information about the person's criminal history records that
4	are the subject of a court order for limited access granted
5	under this section.]
6	* * *
7	Section 2. Title 18 is amended by adding sections to read:
8	§ 9122.2. Clean slate for convictions of misdemeanors and for
9	charges not leading to convictions.
10	(a) Declaration of policy. The General Assembly finds and
11	declares as follows:
12	(1) Individuals with charges not leading to convictions
13	may be inherently harmed by the maintenance of that record
14	and have a constitutional presumption of innocence.
15	(2) Individuals convicted of crimes in this Commonwealth
16	shall serve their sentences as ordered by the courts of this
17	Commonwealth.
18	(3) After less violent individuals convicted of their
19	crimes have served their sentences and remained crime free
20	long enough to demonstrate their rehabilitation, their access
21	to employment, housing, education and other necessities of
22	life should be fully restored.
23	(4) The Commonwealth shall provide a clean slate remedy,
24	as set forth under this section, to:
25	(i) create a strong incentive for avoidance of
26	recidivism by offenders;
27	(ii) provide hope for the alleviation of the
28	hardships of having a criminal record by offenders who
29	are trying to rehabilitate themselves; and
30	(iii) save the Commonwealth money that must be spent

1	in the administration of criminal justice when offenders
2	<u>recidivate.</u>
3	(5) The clean slate remedy should be implemented without
4	cost to the former offender of filing a petition with a
5	court.
6	(b) Misdemeanor conviction.
7	(1) Except as provided under paragraphs (2) and (3),
8	criminal history record information of all convictions of
9	misdemeanor offenses shall automatically receive limited
LO	access when completion of the court ordered financial
11	obligations of the sentence has occurred and 10 years have
12	passed since the most recent misdemeanor or felony conviction
13	in any court of the unified judicial system.
L 4	(2) Limited access under this subsection shall not be
L5	granted to misdemeanor convictions of the following offenses:
L 6	(i) Offenses under Article B (relating to offenses_
L7	involving danger to the person).
18	(ii) Offenses under Article D (relating to offenses
L 9	against the family).
20	(iii) Offenses under Chapter 61 (relating to
21	firearms and other dangerous articles).
22	(iv) Offenses that require registration under 42
23	Pa.C.S. Ch. 97 Subch. H (relating to registration of
24	<u>sexual offenders).</u>
25	(v) A violation of section 3127 (relating to
26	<u>indecent exposure).</u>
27	(vi) A violation of section 4915.1 (relating to
28	failure to comply with registration requirements).
29	(vii) A violation of section 5122 (relating to
30	weapons or implements for escape).

1	(viii) A violation of section 5511 (relating to
2	cruelty to animals).
3	(ix) A violation of section 6301 (relating to
4	corruption of minors).
5	(3) Limited access under this subsection shall not be
6	granted to misdemeanor convictions when there is a felony
7	conviction in the same case.
8	(c) Charges not leading to conviction. Criminal history
9	record information of charges with a final disposition other
10	than conviction shall automatically receive limited access 60
11	days after entry of the disposition and payment of any court
12	ordered obligations.
13	(d) No court petition required.
14	(1) Except as provided under paragraph (3), limited
15	access of criminal proceedings under subsections (b) and (c)
16	shall be performed by criminal justice agencies within 30
17	days of the eligibility of such proceedings, without the
18	requirement of a court order.
19	(2) Nothing in this section shall preclude the filing of
20	a petition for limited access of criminal proceedings
21	eligible for limited access under subsections (b) and (c) if
22	<u>limited access has not been provided by criminal justice</u>
23	agencies without a petition.
24	(3) (i) On a monthly basis, the Administrative Office
25	of Pennsylvania Courts shall transmit to the Pennsylvania
26	State Police central repository any case eligible for
27	limited access under this section.
28	(ii) If the Pennsylvania State Police central
29	repository determines through a validation process that a
30	case transmitted is not eligible for limited access

\perp	relief or does not match data held in the repository, the
2	Pennsylvania State Police shall notify the Administrative
3	Office of Pennsylvania Courts of this determination
4	within 30 days of receiving the information.
5	(iii) Upon the expiration of the 30-day period, the
6	Administrative Office of Pennsylvania Courts shall remove
7	from the list of eligible cases any case for which the
8	Administrative Office of Pennsylvania Courts received a
9	notification of ineligibility or nonmatch with repository
10	data.
11	(iv) Thereafter, each court of common pleas shall
12	issue monthly an order granting limited access to any
13	case in its judicial district for which no notification
14	of ineligibility was received by the Administrative
15	Office of Pennsylvania Courts.
16	(4) The Pennsylvania State Police may object to a case
17	as not eligible for limited access under paragraph (3) in
18	which the defendant:
19	(i) was convicted of a misdemeanor or felony in a
20	court of the unified judicial system during the
21	applicable period of time under subsection (b) that must
22	elapse before a case becomes eligible for limited access
23	<pre>relief;</pre>
24	(ii) was convicted of a misdemeanor and a felony
25	within the same case; or
26	(iii) has not completed all obligations of the
27	sentence or been discharged from court supervision.
28	(e) Elimination.
29	(1) If an individual's case receives limited access not
30	in accordance with this section or an individual receiving

	Timited access is subsequently convicted of a misdemeanor of
2	felony, the district attorney of the county in which the
3	underlying or subsequent conviction took place or, if the
4	Office of Attorney General obtained the conviction for the
5	underlying conviction, the Office of Attorney General shall
6	have standing to challenge the limited access granted by the
7	court.
8	(2) If the court that granted the limited access
9	sustains the challenge, the court and the Pennsylvania State
10	Police shall undo the limited access.
11	§ 9122.3. Effects of expunged offenses and offenses provided
12	<pre>limited access.</pre>
13	(a) Disclosure.
14	(1) Except when requested or required by a criminal
15	justice agency, the Supreme Court or entity thereof, or
16	pursuant to an order under section 9121(b.2) (relating to
17	general regulations), no individual shall be required or
18	requested to disclose information about the individual's
19	criminal history records that have been expunged or provided
20	limited access under section 9122.1 (relating to order for
21	<u>limited access</u>) or 9122.2 (relating to clean slate for
22	convictions of misdemeanors and for charges not leading to
23	convictions). An individual required or requested to provide
24	information in violation of this section may respond as if
25	the offense did not occur.
26	(2) This subsection shall not apply where Federal law,
27	including rules and regulations promulgated by a self-
28	regulatory organization that has been created pursuant to
29	Federal law, requires the consideration of an applicant's
30	criminal history for purposes of employment.

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(b) Disqualification by law. An expunded offense or an
 1
   offense provided limited access under section 9122.1 or 9122.2
 2
   may not be considered a conviction that would prohibit the
 3
   employment of a person under any law of this Commonwealth or
   under Federal laws that prohibit employment based on state
 5
   convictions to the extent permitted by Federal law.
 6
 7
   § 9122.4. Employer immunity from liability.
 8
       An employer who employs or otherwise engages an individual
   whose criminal history record has been expunded or provided
   limited access under section 9122.1 (relating to order for
10
   limited access) or 9122.2 (relating to clean slate for
11
   convictions of misdemeanors and for charges not leading to
12
13
   convictions) shall be immune from liability in a civil action
   based, in whole or in part, upon damages suffered to a person or
14
   property as a result of criminal or other unlawful conduct of
15
   the individual employee when the portion of the criminal history
16
   record that has been expunded or provided limited access is
17
18
   related to:
19
          (1) the criminal or other unlawful conduct; and
          (2) the individual's suitability for employment in the
20
      position for which the individual was hired or engaged.
21
      Section 3. Section 9124(b) of Title 18 is amended to read:
22
23
   § 9124. Use of records by licensing agencies.
      * * *
24
25
      (b) Prohibited use of information. The following
   information shall not be used in consideration of an application
26
   for a license, certificate, registration or permit:
27
28
          (1) Records of arrest if there is no conviction of a
      crime based on the arrest.
29
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30

(2) Convictions which have been annulled [or],

- 1 expunded[.] or provided limited access under section 9122.1
- 2 (relating to order for limited access) or 9122.2 (relating to
- 3 clean slate for convictions of misdemeanors and for charges
- 4 <u>not leading to convictions).</u>
- 5 (3) Convictions of a summary offense.
- 6 (4) Convictions for which the individual has received a
- 7 pardon from the Governor.
- 8 (5) Convictions which do not relate to the applicant's
- 9 suitability for the license, certificate, registration or
- 10 permit.
- 11 * * *
- 12 Section 4. The Pennsylvania State Police and the
- 13 Administrative Office of Pennsylvania Courts shall process the
- 14 backlog of existing cases in which the provisions of this act
- 15 are applicable within one year from the effective date of this-
- 16 section.
- 17 Section 5. Petitions for limited access of criminal-
- 18 proceedings eligible for limited access under 18 Pa.C.S. §
- 19 9122.2(b) and (c) may be filed beginning 90 days after the-
- 20 effective date of this section.
- 21 Section 6. This act shall take effect as follows:
- 22 (1) Section 5 of this act shall take effect immediately.
- 23 (2) This section shall take effect immediately.
- 24 (3) The remainder of this act shall take effect in 270
- 25 days.
- 26 SECTION 1. SECTIONS 9121(B) INTRODUCTORY PARAGRAPH, (2) AND <--
- 27 (3), (B.1) AND (B.2) AND 9122.1 HEADING, (A) AND (B) OF TITLE 18
- 28 OF THE PENNSYLVANIA CONSOLIDATED STATUTES ARE AMENDED TO READ:
- 29 § 9121. GENERAL REGULATIONS.
- 30 * * *

1	(B) DISSEMINATION TO NONCRIMINAL JUSTICE AGENCIES AND
2	INDIVIDUALS CRIMINAL HISTORY RECORD INFORMATION SHALL BE
3	DISSEMINATED BY A STATE OR LOCAL POLICE DEPARTMENT TO ANY
4	INDIVIDUAL OR NONCRIMINAL JUSTICE AGENCY ONLY UPON REQUEST.
5	[EXCEPT AS PROVIDED IN SUBSECTION (B.1):] THE FOLLOWING APPLY:
6	* * *
7	(2) [BEFORE] <u>EXCEPT AS PROVIDED FOR IN SUBSECTIONS</u> (B.1)
8	AND (B.2), BEFORE A STATE OR LOCAL POLICE DEPARTMENT
9	DISSEMINATES CRIMINAL HISTORY RECORD INFORMATION TO AN
10	INDIVIDUAL OR NONCRIMINAL JUSTICE AGENCY, IT SHALL EXTRACT
11	FROM THE RECORD THE FOLLOWING:
12	(I) ALL NOTATIONS OF ARRESTS, INDICTMENTS OR OTHER
13	INFORMATION RELATING TO THE INITIATION OF CRIMINAL
14	PROCEEDINGS WHERE:
15	(A) THREE YEARS HAVE ELAPSED FROM THE DATE OF
16	ARREST;
17	(B) NO [CONVICTION HAS OCCURRED] <u>DISPOSITION IS</u>
18	INDICATED IN THE RECORD; AND
19	(C) [NO PROCEEDINGS ARE PENDING SEEKING A
20	CONVICTION.] NOTHING IN THE RECORD INDICATES THAT
21	PROCEEDINGS SEEKING CONVICTION REMAIN PENDING.
22	(II) ALL INFORMATION RELATING TO A CONVICTION AND
23	THE ARREST, INDICTMENT OR OTHER INFORMATION LEADING
24	THERETO, WHICH IS THE SUBJECT OF A COURT ORDER FOR
25	LIMITED ACCESS AS PROVIDED IN SECTION 9122.1 (RELATING TO
26	ORDER FOR LIMITED ACCESS).
27	(III) ALL INFORMATION RELATING TO A CONVICTION OR
28	NONCONVICTION FINAL DISPOSITION, AND THE ARREST,
29	INDICTMENT OR OTHER INFORMATION LEADING TO THE ARREST OR
30	INDICTMENT WHICH IS SUBJECT TO A COURT ORDER FOR LIMITED

1	ACCESS AS PROVIDED FOR IN SECTION 9122.2 (RELATING TO
2	CLEAN SLATE LIMITED ACCESS).
3	(3) A COURT OR THE ADMINISTRATIVE OFFICE OF PENNSYLVANIA
4	COURTS MAY NOT DISSEMINATE TO AN INDIVIDUAL, A NONCRIMINAL
5	JUSTICE AGENCY OR AN INTERNET WEBSITE ANY INFORMATION
6	[RELATING TO A CONVICTION, ARREST, INDICTMENT OR OTHER
7	INFORMATION LEADING TO A CONVICTION, ARREST, INDICTMENT OR
8	OTHER INFORMATION,] WHICH IS THE SUBJECT OF A COURT ORDER FOR
9	LIMITED ACCESS AS PROVIDED IN SECTION 9122.1 OR 9122.2.
10	(B.1) EXCEPTION SUBSECTION (B) (1) AND (2) SHALL NOT APPLY
11	IF THE REQUEST IS MADE BY A COUNTY CHILDREN AND YOUTH AGENCY OR
12	THE DEPARTMENT OF [PUBLIC WELFARE] HUMAN SERVICES IN THE
13	PERFORMANCE OF DUTIES RELATING TO CHILDREN AND YOUTH UNDER THE
14	ACT OF JUNE 24, 1937 (P.L.2017, NO.396), KNOWN AS THE COUNTY
15	INSTITUTION DISTRICT LAW, SECTION 2168 OF THE ACT OF AUGUST 9,
16	1955 (P.L.323, NO.130), KNOWN AS THE COUNTY CODE, THE ACT OF
17	JUNE 13, 1967 (P.L.31, NO.21), KNOWN AS THE [PUBLIC WELFARE]
18	HUMAN SERVICES CODE, 23 PA.C.S. CH. 63 (RELATING TO CHILD
19	PROTECTIVE SERVICES) OR 42 PA.C.S. CH. 63 (RELATING TO JUVENILE
20	MATTERS).
21	(B.2) ADDITIONAL EXCEPTIONS
22	(1) SUBSECTION (B) (2) (II) AND [(3)] (III) SHALL NOT
23	APPLY IF THE REQUEST IS MADE [BY A STATE AGENCY TO BE USED
24	ONLY AS AUTHORIZED UNDER SECTION 9124 (RELATING TO USE OF
25	RECORDS BY LICENSING AGENCIES).] <u>UNDER A COURT ORDER:</u>
26	(I) IN A CASE BROUGHT UNDER 23 PA.C.S. CH. 53
27	(RELATING TO CHILD CUSTODY) OR 61 (RELATING TO PROTECTION
28	FROM ABUSE).
29	(II) BY AN EMPLOYER AGAINST WHOM A CLAIM OF CIVIL
30	LIABILITY HAS BEEN BROUGHT AS DESCRIBED UNDER SECTION

Τ	9122.6 (RELATING TO EMPLOYER IMMUNITY FROM LIABILITY) FOR
2	PURPOSES OF DEFENDING AGAINST A CLAIM OF CIVIL LIABILITY.
3	(2) SUBSECTION (B) (2) SHALL NOT APPLY:
4	(I) TO THE VERIFICATION OF INFORMATION PROVIDED BY
5	AN APPLICANT IF FEDERAL LAW, INCLUDING RULES AND
6	REGULATIONS PROMULGATED BY A SELF-REGULATORY ORGANIZATION
7	THAT HAS BEEN CREATED UNDER FEDERAL LAW, REQUIRES THE
8	CONSIDERATION OF AN APPLICANT'S CRIMINAL HISTORY FOR
9	PURPOSES OF EMPLOYMENT.
10	(II) TO THE VERIFICATION OF INFORMATION PROVIDED TO
11	THE SUPREME COURT, OR AN ENTITY OF THE SUPREME COURT, IN
12	ITS CAPACITY TO GOVERN THE PRACTICE, PROCEDURE AND
13	CONDUCT OF ALL COURTS, THE ADMISSION TO THE BAR, THE
14	PRACTICE OF LAW, THE ADMINISTRATION OF ALL COURTS AND
15	SUPERVISION OF ALL OFFICERS OF THE JUDICIAL BRANCH.
16	* * *
17	§ 9122.1. [ORDER] <u>PETITION</u> FOR LIMITED ACCESS.
18	(A) GENERAL RULE [THE FOLLOWING SHALL APPLY:
19	(1) NOTWITHSTANDING ANY OTHER PROVISION OF THIS CHAPTER,
20	UPON PETITION OF A PERSON WHO HAS BEEN FREE OF ARREST OR
21	PROSECUTION FOLLOWING CONVICTION OR FINAL RELEASE FROM
22	CONFINEMENT OR SUPERVISION, WHICHEVER IS LATER, FOR A PERIOD
23	OF 10 YEARS, THE COURT OF COMMON PLEAS IN THE JURISDICTION
24	WHERE THE CONVICTION OCCURRED MAY ENTER AN ORDER THAT
25	CRIMINAL HISTORY RECORD INFORMATION MAINTAINED BY ANY
26	CRIMINAL JUSTICE AGENCY PERTAINING TO A CONVICTION FOR A
27	MISDEMEANOR OF THE SECOND DEGREE, A MISDEMEANOR OF THE THIRD
28	DEGREE OR AN UNGRADED OFFENSE WHICH CARRIES A MAXIMUM PENALTY
29	OF NO MORE THAN TWO YEARS BE DISSEMINATED ONLY TO A CRIMINAL
30	JUSTICE AGENCY OR A GOVERNMENT AGENCY AS PROVIDED IN SECTION

1	9121(B.1) AND (B.2) (RELATING TO GENERAL REGULATIONS).
2	(2) EXCEPT WHEN REQUESTED OR REQUIRED BY A CRIMINAL
3	JUSTICE AGENCY, OR BY AND FOR THE OFFICIAL USE OF A
4	GOVERNMENT AGENCY DESCRIBED IN SECTION 9121(B.1) OR 9124(A)
5	(RELATING TO USE OF RECORDS BY LICENSING AGENCIES), NO
6	INDIVIDUAL SHALL BE REQUIRED NOR REQUESTED TO DISCLOSE
7	INFORMATION ABOUT THE PERSON'S CRIMINAL HISTORY RECORDS THAT
8	ARE THE SUBJECT OF A COURT ORDER FOR LIMITED ACCESS GRANTED
9	UNDER THIS SECTION.] SUBJECT TO THE EXCEPTIONS IN SUBSECTION
10	(B) AND NOTWITHSTANDING ANY OTHER PROVISION OF THIS CHAPTER,
11	UPON PETITION OF A PERSON WHO HAS BEEN FREE FROM CONVICTION
12	FOR A PERIOD OF 10 YEARS FOR AN OFFENSE PUNISHABLE BY ONE OR
13	MORE YEARS IN PRISON AND HAS COMPLETED COURT-ORDERED
14	FINANCIAL OBLIGATIONS OF THE SENTENCE, THE COURT OF COMMON
15	PLEAS IN THE JURISDICTION IF A CONVICTION OCCURRED MAY ENTER
16	AN ORDER THAT CRIMINAL HISTORY RECORD INFORMATION MAINTAINED
17	BY A CRIMINAL JUSTICE AGENCY PERTAINING TO A QUALIFYING
18	MISDEMEANOR OR AN UNGRADED OFFENSE WHICH CARRIES A MAXIMUM
19	PENALTY OF NO MORE THAN FIVE YEARS BE DISSEMINATED ONLY TO A
20	CRIMINAL JUSTICE AGENCY OR AS PROVIDED IN SECTION 9121(B.1)
21	AND (B.2) (RELATING TO GENERAL REGULATIONS).
22	(B) EXCEPTIONS AN ORDER FOR LIMITED ACCESS UNDER THIS
23	SECTION SHALL NOT BE GRANTED [TO AN INDIVIDUAL WHO HAS BEEN
24	CONVICTED AT ANY TIME OF ANY OF THE FOLLOWING:
25	(1) AN OFFENSE PUNISHABLE BY IMPRISONMENT OF MORE THAN
26	TWO YEARS.
27	(2) FOUR OR MORE OFFENSES PUNISHABLE BY IMPRISONMENT OF
28	ONE OR MORE YEARS.
29	(3) A VIOLATION OF SECTION 2701 (RELATING TO SIMPLE
30	ASSAULT), EXCEPT WHEN THE OFFENSE IS GRADED AS A MISDEMEANOR

1	OF THE THIRD DEGREE.
2	(4) A VIOLATION OF SECTION 3129 (RELATING TO SEXUAL
3	INTERCOURSE WITH ANIMAL).
4	(5) A VIOLATION OF SECTION 4912 (RELATING TO
5	IMPERSONATING A PUBLIC SERVANT).
6	(6) A VIOLATION OF SECTION 4952 (RELATING TO
7	INTIMIDATION OF WITNESSES OR VICTIMS).
8	(7) A VIOLATION OF SECTION 4953 (RELATING TO RETALIATION
9	AGAINST WITNESS, VICTIM OR PARTY).
10	(8) A VIOLATION OF SECTION 4958 (RELATING TO
11	INTIMIDATION, RETALIATION OR OBSTRUCTION IN CHILD ABUSE
12	CASES).
13	(9) AN OFFENSE WHICH REQUIRES REGISTRATION UNDER 42
14	PA.C.S. CH. 97 SUBCH. H (RELATING TO REGISTRATION OF SEXUAL
15	OFFENDERS).] FOR ANY OF THE FOLLOWING:
16	(1) A CONVICTION FOR AN OFFENSE PUNISHABLE BY MORE THAN
17	TWO YEARS IN PRISON WHICH IS ANY OF THE FOLLOWING:
18	(I) AN OFFENSE UNDER PT. II ARTICLE B (RELATING TO
19	OFFENSES INVOLVING DANGER TO THE PERSON).
20	(II) AN OFFENSE UNDER PT. II ARTICLE D (RELATING TO
21	OFFENSES AGAINST THE FAMILY).
22	(III) AN OFFENSE UNDER CHAPTER 61 (RELATING TO
23	FIREARMS AND OTHER DANGEROUS ARTICLES).
24	(IV) AN OFFENSE SPECIFIED IN 42 PA.C.S. § 9799.14
25	(RELATING TO SEXUAL OFFENSES AND TIER SYSTEM).
26	(2) AN INDIVIDUAL WHO MEETS ANY OF THE FOLLOWING:
27	(I) HAS BEEN CONVICTED OF MURDER, A FELONY OF THE
28	FIRST DEGREE OR AN OFFENSE PUNISHABLE BY IMPRISONMENT OF
29	20 OR MORE YEARS.
30	(II) HAS BEEN CONVICTED WITHIN THE PREVIOUS 20 YEARS

1	OF:
2	(A) A FELONY OR AN OFFENSE PUNISHABLE BY
3	IMPRISONMENT OF SEVEN OR MORE YEARS INVOLVING:
4	(I) AN OFFENSE UNDER PT. II ARTICLE B;
5	(II) AN OFFENSE UNDER PT. II ARTICLE D;
6	(III) AN OFFENSE UNDER CHAPTER 61; OR
7	(IV) AN OFFENSE SPECIFIED IN 42 PA.C.S. §
8	9799.14; OR
9	(B) FOUR OR MORE OFFENSES PUNISHABLE BY
10	IMPRISONMENT OF TWO OR MORE YEARS.
11	(III) HAS, WITHIN THE PREVIOUS 15 YEARS, BEEN
12	CONVICTED OF:
13	(A) TWO OR MORE OFFENSES PUNISHABLE BY MORE THAN
14	TWO YEARS IN PRISON; OR
15	(B) ANY OF THE FOLLOWING:
16	(I) AN OFFENSE UNDER SECTION 3127 (RELATING
17	TO INDECENT EXPOSURE).
18	(II) AN OFFENSE UNDER SECTION 3129 (RELATING
19	TO SEXUAL INTERCOURSE WITH ANIMAL).
20	(III) AN OFFENSE UNDER SECTION 4915.1
21	(RELATING TO FAILURE TO COMPLY WITH REGISTRATION
22	REQUIREMENTS).
23	(IV) AN OFFENSE UNDER SECTION 5122 (RELATING
24	TO WEAPONS OR IMPLEMENTS FOR ESCAPE).
25	(V) AN OFFENSE UNDER SECTION 5510 (RELATING
26	TO ABUSE OF CORPSE).
27	(VI) AN OFFENSE UNDER SECTION 5515 (RELATING
28	TO PROHIBITING OF PARAMILITARY TRAINING).
29	* * *
30	SECTION 2. TITLE 18 IS AMENDED BY ADDING SECTIONS TO READ:

- 1 § 9122.2. CLEAN SLATE LIMITED ACCESS.
- 2 (A) GENERAL RULE. -- THE FOLLOWING SHALL BE SUBJECT TO LIMITED
- 3 ACCESS:
- 4 (1) SUBJECT TO THE EXCEPTIONS UNDER SECTION 9122.3
- 5 (RELATING TO EXCEPTIONS) OR IF A COURT HAS VACATED AN ORDER
- 6 FOR LIMITED ACCESS UNDER SECTION 9122.4 (RELATING TO ORDER TO
- 7 VACATE ORDER FOR LIMITED ACCESS), CRIMINAL HISTORY RECORD
- 8 INFORMATION PERTAINING TO A CONVICTION OF A MISDEMEANOR OF
- 9 THE SECOND DEGREE, A MISDEMEANOR OF THE THIRD DEGREE OR A
- 10 MISDEMEANOR OFFENSE PUNISHABLE BY IMPRISONMENT OF NO MORE
- 11 THAN TWO YEARS IF A PERSON HAS BEEN FREE FOR 10 YEARS FROM
- 12 <u>CONVICTION FOR ANY OFFENSE PUNISHABLE BY IMPRISONMENT OF ONE</u>
- OR MORE YEARS AND IF COMPLETION OF THE COURT-ORDERED
- 14 <u>FINANCIAL OBLIGATIONS OF THE SENTENCE HAS OCCURRED.</u>
- 15 (2) CRIMINAL HISTORY RECORD INFORMATION PERTAINING TO
- 16 <u>CHARGES WHICH RESULTED IN A FINAL DISPOSITION OTHER THAN A</u>
- 17 CONVICTION.
- 18 (3) CRIMINAL HISTORY RECORD INFORMATION PERTAINING TO A
- 19 CONVICTION FOR A SUMMARY OFFENSE WHEN 10 YEARS HAVE ELAPSED
- 20 SINCE ENTRY OF THE JUDGMENT OF CONVICTION AND COMPLETION OF
- 21 ALL COURT-ORDERED FINANCIAL OBLIGATIONS OF THE SENTENCE HAS
- 22 <u>OCCURRED.</u>
- 23 (B) PROCEDURES.--
- 24 (1) ON A MONTHLY BASIS, THE ADMINISTRATIVE OFFICE OF
- 25 PENNSYLVANIA COURTS SHALL TRANSMIT TO THE PENNSYLVANIA STATE
- 26 POLICE CENTRAL REPOSITORY THE RECORD OF ANY CONVICTION
- 27 <u>ELIGIBLE FOR LIMITED ACCESS UNDER SUBSECTION (A) (1).</u>
- 28 (2) THE ADMINISTRATIVE OFFICE OF PENNSYLVANIA COURTS
- 29 <u>SHALL TRANSMIT TO THE PENNSYLVANIA STATE POLICE REPOSITORY:</u>
- 30 (I) THE RECORD OF CHARGES SUBJECT TO LIMITED ACCESS

1	UNDER SUBSECTION (A)(2) WITHIN 30 DAYS AFTER ENTRY OF THE
2	DISPOSITION AND PAYMENT OF COURT-ORDERED OBLIGATIONS.
3	(II) THE RECORD OF ANY CONVICTION UNDER SUBSECTION
4	(A)(3) WITHIN 30 DAYS AFTER THE RECORD BECOMES SUBJECT TO
5	LIMITED ACCESS.
6	(3) IF THE PENNSYLVANIA STATE POLICE CENTRAL REPOSITORY
7	DETERMINES THROUGH A VALIDATION PROCESS THAT A RECORD
8	TRANSMITTED IS NOT ELIGIBLE FOR LIMITED ACCESS RELIEF UNDER
9	SUBSECTION (A) OR DOES NOT MATCH DATA HELD IN THE REPOSITORY,
10	THE PENNSYLVANIA STATE POLICE SHALL NOTIFY THE ADMINISTRATIVE
11	OFFICE OF PENNSYLVANIA COURTS OF THIS DETERMINATION WITHIN 30
12	DAYS OF RECEIVING THE INFORMATION.
13	(4) UPON THE EXPIRATION OF THE 30-DAY PERIOD, THE
14	ADMINISTRATIVE OFFICE OF PENNSYLVANIA COURTS SHALL REMOVE
15	FROM THE LIST OF ELIGIBLE RECORDS ANY RECORD FOR WHICH THE
16	ADMINISTRATIVE OFFICE OF PENNSYLVANIA COURTS RECEIVED A
17	NOTIFICATION OF INELIGIBILITY OR NONMATCH WITH REPOSITORY
18	DATA.
19	(5) EACH COURT OF COMMON PLEAS SHALL ISSUE MONTHLY AN
20	ORDER FOR LIMITED ACCESS FOR ANY RECORD IN ITS JUDICIAL
21	DISTRICT FOR WHICH NO NOTIFICATION OF INELIGIBILITY WAS
22	RECEIVED BY THE ADMINISTRATIVE OFFICE OF PENNSYLVANIA COURTS.
23	(C) LIMITATION ON RELEASE OF RECORDS A CRIMINAL HISTORY
24	RECORD THAT IS THE SUBJECT OF AN ORDER FOR LIMITED ACCESS UNDER
25	THIS SECTION SHALL BE MADE AVAILABLE TO A NONCRIMINAL JUSTICE
26	AGENCY ONLY AS PROVIDED FOR IN SECTION 9121(B), (B.1) AND (B.2)
27	(RELATING TO GENERAL REGULATIONS).
28	§ 9122.3. EXCEPTIONS.
29	(A) LIMITED ACCESS NOT APPLICABLE LIMITED ACCESS TO
30	RECORDS UNDER SECTION 9122.2(A)(1) (RELATING TO CLEAN SLATE

1	LIMITED ACCESS) SHALL NOT BE GRANTED FOR ANY OF THE FOLLOWING:
2	(1) A CONVICTION FOR ANY OF THE FOLLOWING:
3	(I) AN OFFENSE UNDER PT. II ARTICLE B (RELATING TO
4	OFFENSES INVOLVING DANGER TO THE PERSON).
5	(II) AN OFFENSE UNDER PT. II ARTICLE D (RELATING TO
6	OFFENSES AGAINST THE FAMILY).
7	(III) AN OFFENSE UNDER CHAPTER 61 (RELATING TO
8	FIREARMS AND OTHER DANGEROUS ARTICLES).
9	(IV) AN OFFENSE UNDER 42 PA.C.S. § 9799.14 (RELATING
10	TO SEXUAL OFFENSES AND TIER SYSTEM).
11	(V) AN OFFENSE UNDER SECTION 5533 (RELATING TO
12	CRUELTY TO ANIMAL).
13	(VI) AN OFFENSE UNDER SECTION 6301 (RELATING TO
14	CORRUPTION OF MINORS).
15	(2) AN INDIVIDUAL WHO AT ANY TIME HAS BEEN CONVICTED OF:
16	(I) A FELONY.
17	(II) TWO OR MORE OFFENSES PUNISHABLE BY IMPRISONMENT
18	OF MORE THAN TWO YEARS.
19	(III) FOUR OR MORE OFFENSES PUNISHABLE BY
20	IMPRISONMENT OF ONE OR MORE YEARS.
21	(IV) AN OFFENSE UNDER THE FOLLOWING:
22	(A) SECTION 3127 (RELATING TO INDECENT
23	EXPOSURE).
24	(B) SECTION 3129 (RELATING TO SEXUAL INTERCOURSE
25	WITH ANIMAL).
26	(C) SECTION 4915.1 (RELATING TO FAILURE TO
27	COMPLY WITH REGISTRATION REQUIREMENTS).
28	(D) SECTION 5122 (RELATING TO WEAPONS OR
29	IMPLEMENTS FOR ESCAPE).
30	(E) SECTION 5510 (RELATING TO ABUSE OF CORPSE).

- 1 (F) SECTION 5515 (RELATING TO PROHIBITING OF
- 2 PARAMILITARY TRAINING).
- 3 (B) LIMITED ACCESS TO SAME CASE. -- LIMITED ACCESS UNDER THIS
- 4 SECTION SHALL NOT APPLY TO AN OTHERWISE QUALIFYING CONVICTION IF
- 5 A CONVICTION FOR AN OFFENSE PUNISHABLE BY IMPRISONMENT OF FIVE
- 6 OR MORE YEARS OR AN OFFENSE ENUMERATED IN SUBSECTION (A) AROSE
- 7 OUT OF THE SAME CASE.
- 8 (C) FILING.--NOTHING IN THIS SECTION SHALL PRECLUDE THE
- 9 FILING OF A PETITION FOR LIMITED ACCESS UNDER SECTION 9122.1
- 10 (RELATING TO PETITION FOR LIMITED ACCESS) IF LIMITED ACCESS IS
- 11 AVAILABLE UNDER THAT SECTION.
- 12 § 9122.4. ORDER TO VACATE ORDER FOR LIMITED ACCESS.
- 13 (A) GENERAL RULE. -- UPON PETITION OF THE PROSECUTING ATTORNEY
- 14 TO THE COURT WITH JURISDICTION OVER A CONVICTION, AND WITH
- 15 NOTICE TO THE DEFENDANT AND OPPORTUNITY TO BE HEARD, THE COURT
- 16 SHALL VACATE AN ORDER FOR LIMITED ACCESS GRANTED UNDER SECTION
- 17 9122.2 (RELATING TO CLEAN SLATE LIMITED ACCESS) IF THE COURT
- 18 DETERMINES THAT THE ORDER WAS ERRONEOUSLY ENTERED AND NOT IN
- 19 ACCORDANCE WITH SECTION 9122.2.
- 20 (B) CONVICTION.--UPON CONVICTION OF A MISDEMEANOR OR FELONY
- 21 OFFENSE AND MOTION OF THE PROSECUTING ATTORNEY, THE COURT SHALL
- 22 ENTER AN ORDER VACATING ANY PRIOR ORDER FOR LIMITED ACCESS
- 23 PERTAINING TO A RECORD OF THE DEFENDANT, EXCEPT UNDER SECTION
- 24 9122.2(A)(2).
- 25 <u>(C) TRANSMISSION TO REPOSITORY. -- AN ORDER UNDER SUBSECTION</u>
- 26 (A) OR (B) SHALL BE TRANSMITTED TO THE CENTRAL REPOSITORY OF THE
- 27 PENNSYLVANIA STATE POLICE.
- 28 § 9122.5. EFFECTS OF EXPUNGED RECORDS AND RECORDS SUBJECT TO
- LIMITED ACCESS.
- 30 (A) DISCLOSURE.--

- 1 (1) EXCEPT IF REQUESTED OR REQUIRED BY A CRIMINAL
- 2 JUSTICE AGENCY, OR IF DISCLOSURE TO NONCRIMINAL JUSTICE
- 3 AGENCIES IS AUTHORIZED OR REQUIRED BY SECTION 9121(B.1) AND
- 4 (B.2) (RELATING TO GENERAL REGULATIONS), AN INDIVIDUAL MAY
- 5 NOT BE REQUIRED OR REQUESTED TO DISCLOSE INFORMATION ABOUT
- 6 THE INDIVIDUAL'S CRIMINAL HISTORY RECORD THAT HAS BEEN
- 7 <u>EXPUNGED OR PROVIDED LIMITED ACCESS UNDER SECTION 9122.1</u>
- 8 (RELATING TO PETITION FOR LIMITED ACCESS) OR 9122.2 (RELATING
- 9 TO CLEAN SLATE LIMITED ACCESS). AN INDIVIDUAL REQUIRED OR
- 10 REQUESTED TO PROVIDE INFORMATION IN VIOLATION OF THIS SECTION
- 11 MAY RESPOND AS IF THE OFFENSE DID NOT OCCUR.
- 12 (2) THIS SUBSECTION SHALL NOT APPLY IF FEDERAL LAW,
- 13 <u>INCLUDING RULES AND REGULATIONS PROMULGATED BY A SELF-</u>
- 14 <u>REGULATORY ORGANIZATION THAT HAS BEEN CREATED UNDER FEDERAL</u>
- 15 LAW, REQUIRES THE CONSIDERATION OF AN APPLICANT'S CRIMINAL
- 16 HISTORY FOR PURPOSES OF EMPLOYMENT.
- 17 (B) DISOUALIFICATION BY LAW.--AN EXPUNGED RECORD OR A RECORD
- 18 SUBJECT TO LIMITED ACCESS UNDER SECTION 9122.1 OR 9122.2 MAY NOT
- 19 BE CONSIDERED A CONVICTION THAT WOULD PROHIBIT THE EMPLOYMENT OF
- 20 A PERSON UNDER ANY LAW OF THIS COMMONWEALTH OR UNDER FEDERAL
- 21 LAWS THAT PROHIBIT EMPLOYMENT BASED ON STATE CONVICTIONS TO THE
- 22 EXTENT PERMITTED BY FEDERAL LAW.
- 23 § 9122.6. EMPLOYER IMMUNITY FROM LIABILITY.
- 24 AN EMPLOYER WHO EMPLOYS OR OTHERWISE ENGAGES AN INDIVIDUAL
- 25 WHOSE CRIMINAL HISTORY RECORD HAS BEEN EXPUNGED OR TO WHICH
- 26 <u>LIMITED ACCESS HAS BEEN APPLIED UNDER SECTION 9122.1 (RELATING</u>
- 27 TO PETITION FOR LIMITED ACCESS) OR 9122.2 (RELATING TO CLEAN
- 28 SLATE LIMITED ACCESS) SHALL BE IMMUNE FROM LIABILITY FOR ANY
- 29 CLAIM ARISING OUT OF THE MISCONDUCT OF THE INDIVIDUAL, IF THE
- 30 <u>MISCONDUCT RELATES TO THE PORTION OF THE CRIMINAL HISTORY RECORD</u>

- 1 THAT HAS BEEN EXPUNGED OR PROVIDED LIMITED ACCESS.
- 2 SECTION 3. THIS ACT SHALL TAKE EFFECT IN 365 DAYS.